

ATTN: APPLICATION BRANCH

THIS IS A RESPONSE TO A

NOTICE TO FILE MISSING PARTS OF AN APPLICATION

# OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT P.C.

ATTORNEYS AT LAW

# ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Re: Inventor:

Sadayoshi KANEMARU, et al.

Serial No:

10/015,627

Filed:

December 17, 2001

For:

SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR

LASER MODULE, FABRICATION METHOD THEREOF AND

AMPLIFIER FOR OPTICAL FIBER

SIR:

Attached hereto for filing are the following papers:

Notice to File Missing Parts, Filing of Declaration/Declaration, Filing of Certified English Translation, Certification of English Translation, Certified English Translation (65 pages), Letter to Official Draftsman, Substitute Formal Drawings (18 sheets), Request for Priority, Priority Documents (2)

Our check in the amount of \$0.00 is attached covering any required fees. In the event that any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Bradley D. Lytle

Registration No. 40,0

Joseph A. Scafetta, Jr. Registration No. 26,803

Docket No. 217494US8

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 12/01)



### UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/015,627

1755 JEFFERSON DAVIS HIGHWAY

FOURTH FLOOR

ARLINGTON, VA 22202

12/17/2001

OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC

Sadayoshi Kanemaru

217494US8

CONFIRMATION NO. 7547

FORMALITIES LETTER

\*OC000000007542107\*

Date Mailed: 02/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine
  the number of claims submitted. Additional claim fees may be due once the number of claims can be
  determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice <u>MUST</u> be returned with the reply.

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Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



ATTN: APPLICATION BRANCH

# **OBLON SPIVAK** McClelland MAIER NEUSTADT P.C.

ATTORNEYS AT LAW

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ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Inventor:

Sadayoshi KANEMARU, et al.

Serial No:

10/015,627

Filed:

December 17, 2001

For:

SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR

LASER MODULE, FABRICATION METHOD THEREOF AND

AMPLIFIER FOR OPTICAL FIBER

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Notice to File Missing Parts, Filing of Declaration/Declaration, Filing of Certified English Translation, Certification of English Translation, Certified English Translation (65 pages), Letter to Official Draftsman, Substitute Formal Drawings (18 sheets), Request for Priority, Priority Documents (2)

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Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Registration No.

Joseph A. Scafetta, Jr. Registration No. 26,803

Docket No. 217494US8

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 12/01)

Docket No. 217494US8



# IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

Sadayoshi KANEMARU, et al.

SERIAL NO: 10/015,627

FILED: 12/17/01

FOR: SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR...

# **LETTER TO THE OFFICIAL DRAFTSMAN**

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

SIR:

In response to the Notice to File Missing Parts of Nonprovisional Application, attached hereto please find <u>18</u> sheets of Substitute Formal Drawings. It is requested that the enclosed <u>18</u> sheets of Substitute Formal Drawings be entered to replace the drawings previously filed in this application.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Bradley D. Lytle

Registration No: 40,073

Attorney of Record

Joseph A. Scafetta, Jr. Registration No. 26,803

22850

BDL:dnf (703) 413-3000 Fax: (703) 412-2220 P EDoreket No.

APR 1 7 2002

217494US8

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN REAPPLICATION OF: Sadayoshi KANEMARU, et al.

CALBANE AL NUMBER: 10/015,627

ATTN: APPLICATION BRANCH

FILING DATE:

December 17, 2001

SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR LASER MODULE, FABRICATION

FOR:

METHOD THEREOF AND AMPLIFIER FOR OPTICAL FIBER

### FILING OF DECLARATION UNDER 37 CFR 1.53(f)

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated February 27, 2002, and in accordance with the provisions of 37 CFR 1.53(f), Applicants submit herewith a Rule 63 Declaration.

The required fee was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Bradley D. Lytle

Attorney of Record

Registration No. 40,073

Joseph A. Scafetta, Jr. Registration No. 26,803

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Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/98) Docket No: 217494US8

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 1 7 2002

IN RE APPLICATION OF:

Sadayoshi KANEMARU, et al.

SERIAL NO:

10/015,627

FILED:

December 17, 2001

FOR: SEMICONDUCTOR LASER ELEMENT,

SEMICONDUCTOR LASER MODULE, FABRICATION METHOD THEREOF AND

AMPLIFIER FOR OPTICAL FIBER

ATTN: BOX MISSING PART

# FILING OF CERTIFIED ENGLISH TRANSLATION UNDER 37 CFR 1.52(d)

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Responsive to the Notice to File Missing Parts of Application (Form PTO-1533) dated February 27, 2002, Applicants submit herewith a certified English translation of the application, as filed, in accordance with the provisions of 37 C.F.R. §1.52(d).

The required fee was paid at the time of filing of the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C

Bradley D. Lytle

Attorney of Record

Registration No. 40,073

Joseph A. Scafetta, Jr. Registration No. 26.803

22850

Tel. (703) 413-3000 Fax. (703) 413-2220

### VERIFICATION OF TRANSLATION

I, Hiroaki Sakai, of c/o SAKAI International Patent Office, 2-6, Kasumigaseki 3-chome, Chiyoda-ku, Tokyo 100-0013 Japan, hereby declare that I am a translator of the document attached, and attached document is a true and correct translation made by me to the best of my knowledge and belief.

U. S. Patent Application No. 10/015,627, filed on December 17, 2001.

Signature of Translator:

Hiroaki Sakai

Date FEB. 12.2002